



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralph Bauer, et al.

Title: SURFACE COATING SOLUTION

Application No.: 10/823,400

Filed: April 13, 2004

Examiner: Unassigned

Group Art Unit: 1714

Atty. Docket No.: 1055-A4363

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MS AMENDMENT  
COMMISSIONER FOR PATENTS  
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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449  
 Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

07/17/2007 TNGUYEN2 00000054-502797-10823400

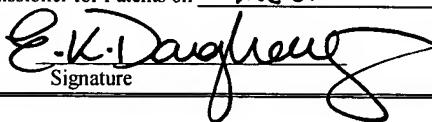
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CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as either First Class Mail or Express Mail, in an envelope addressed to the Commissioner for Patents on 7/17/07.

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**STATEMENT UNDER 37 C.F.R. § 1.704(d)**

If the above-identified application is an original application filed on or after May 29, 2000:

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

**FEES DUE**

This Information Disclosure Statement is being filed:

- within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-3797.

Respectfully submitted,



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Date

7-12-07

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**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 4

**Complete if Known**

Application Number	10/823,400
Filing Date	April 13, 2004
First Named Inventor	Ralph Bauer
Art Unit	1714
Examiner Name	Tae H. Yoon
Attorney Docket Number	1035-A4363

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Number-Kind Code <sup>2</sup> (if known)					
AA	US-	2004/265219 A1	12/30/2005	Bauer et al.	
AB	US-	2006/104895 A1	05/18/2006	Bauer et al.	
AC	US-	2006/148955 A1	07/06/2006	Guiselin et al.	
AD	US-	4,632,364	12/30/1986	Smith	
AE	US-	5,155,085	10/13/1992	Hamano et al.	
AF	US-	5,302,368	04/12/1994	Harato et al.	
AG	US-	5,508,016	04/16/1996	Yamanishi et al.	
AH	US-	6,747,087 B2	06/08/2004	Custodero et al.	
AI	US-	6,653,387 B2	11/25/2003	Causa et al.	
AJ	US-	3,842,111	10/15/1974	Meyer-Simon et al.	
AK	US-	3,873,489	03/25/1975	Thurn et al.	
AL	US-	3,978,103	08/31/1976	Meyer-Simon et al.	
AM	US-	3,997,581	12/14/1976	Pietka et al.	
AN	US-	5,580,914	12/03/1996	Falla et al.	
AO	US-	5,583,245	12/10/1996	Parker et al.	
AP	US-	5,663,396	09/02/1997	Musleve et al.	
AQ	US-	5,684,171	11/04/1997	Wideman et al.	
AR	US-	5,684,172	11/04/1997	Wideman et al.	
AS	US-	4,386,185	05/31/1983	Macdonell et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
Country Code <sup>3</sup> "Number" <sup>4</sup> "Kind Code <sup>5</sup> (if known)						
AT	EP	0 501 227 B1	12/06/1995	Michelin & CIE	English Abstract	
AU	WO	2003/089508 A1	10/30/2003	SG Ceramics & Plastics		
AV	WO	2004/016630 A1	02/26/2004	Centre National	English Abstract	
AW	WO	2004/090023 A1	10/21/2004	Michelin	English Abstract	
AX	WO	2006/002993 A1	01/12/2006	Michelin	English Abstract	
AY	WO	2007/056404 A1	05/18/2007	SG Ceramics & Plastics		

Examiner Signature	Date Considered
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STATEMENT BY APPLICANT**  
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Sheet 2 of 4

Application Number	10/823,400
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First Named Inventor	Ralph Bauer
Art Unit	1714
Examiner Name	Tae H. Yoon

Attorney Docket Number 1035-A4363

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BA	US- 4,002,594		01/11/1977	Fetterman	
BB	US- 5,580,919		12/03/1996	Agostini et al.	
BC	US- 2004/0030017 A1		02/12/2004	Simonot et al.	
BD	US- 6,858,665 B2		02/22/2005	Larson	
BE	US- 5,696,197		12/09/1997	Smith et al.	
BF	US- 3,321,272		05/23/1967	Kerr	
BG	US- 3,950,180		04/13/1976	Kato	
BH	US- 5,286,290		02/15/1994	Risley	
BI	US- 5,318,628		06/07/1994	Matijevic et al.	
BJ	US- 5,321,055		06/14/1994	Slocum	
BK	US- 5,344,489		09/06/1994	Matijevic et al.	
BL	US- 6,017,632		01/25/2000	Pinnavaia et al.	
BM	US- 6,156,835		12/05/2000	Anderson et al.	
BN	US- 6,486,254 B1		11/26/2002	Barbee et al.	
BO	US- 6,646,026 B2		11/11/2003	Fan et al.	
BP	US- 6,648,959 B1		11/18/2003	Fischer et al.	
BQ	US- 2004/0120904 A1		06/24/2004	Lye et al.	
BR	US- 6,872,444 B2		03/29/2005	McDonald et al.	
BS	US- 6,924,011 B2		08/02/2005	Van Aert et al.	

**FOREIGN PATENT DOCUMENTS**

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BT	WO 98/14426		04/09/1998	Bionumerik Pharmaceuticals Inc.		
BU	WO 2005/100491 A2		10/27/2005	Saint-Gobain Ceramics		
BV	GB 1189304		04/22/1970	British Petroleum Co.		
BW	EP 0 038 620 A2		10/28/1981	ICI PLC		
BX	WO 2006/60206 A1		06/08/2006	Saint-Gobain Ceramics		
BY	EP 0 697 432 B1		10/15/2003	Bridgestone Corp.		

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Sheet 3 of 4

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CA	US-	2007/104952 A1	05/10/2007	Bianchi et al.	
CB	US-	6,417,286 B1	07/09/2002	Agostini et al.	
CC	US-	6,534,584 B2	03/18/2003	Wideman et al.	
CD	US-	6,635,700 B2	10/21/2003	Cruse et al.	
CE	US-	4,377,418	03/22/1983	Birchall et al.	
CF	US-	4,539,365	09/03/1985	Rhee	
CG	US-	4,623,738	11/18/1986	Sugerman et al.	
CH	US-	4,558,102	12/10/1985	Miyata	
CI	US-	5,723,529	03/03/1998	Bernard et al.	
CJ	US-				
CK	US-				
CL	US-				
CM	US-				
CN	US-				
CO	US-				
CP	US-				
CQ	US-				
CR	US-				
CS	US-				

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CT	WO	2006/60468 A3	06/08/2006	Saint-Gobain Ceramics		
CU	EP	1 112 961 B1	09/15/2004	Sumitomo Chemical Co.		
CV	WO	2004/056915 A1	07/08/2004		English Abstract	
CW	EP	1 000 965 B1	10/08/2003	Bridgestone Corp.		
CX	EP	0 807 603 B1	12/17/2003	Sumitomo Chemical Co.		
CY						

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				<b>Examiner Name</b>	Tae H. Yoon
Sheet	4	of	4	Attorney Docket Number	1035-A4363

<b>NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	DA	Grant et al., "Grant and Hackh's Chemical Dictionary", 5th Ed., (1987), McGraw-Hill Book Co. USA, ISBN 0-07-024067-1, pg. 160		
	DB			
	DC			
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	DG			
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